

The Radiative Efficiencies of the Most Massive, Highest-Redshift Black Holes

Benny Trakhtenbrot

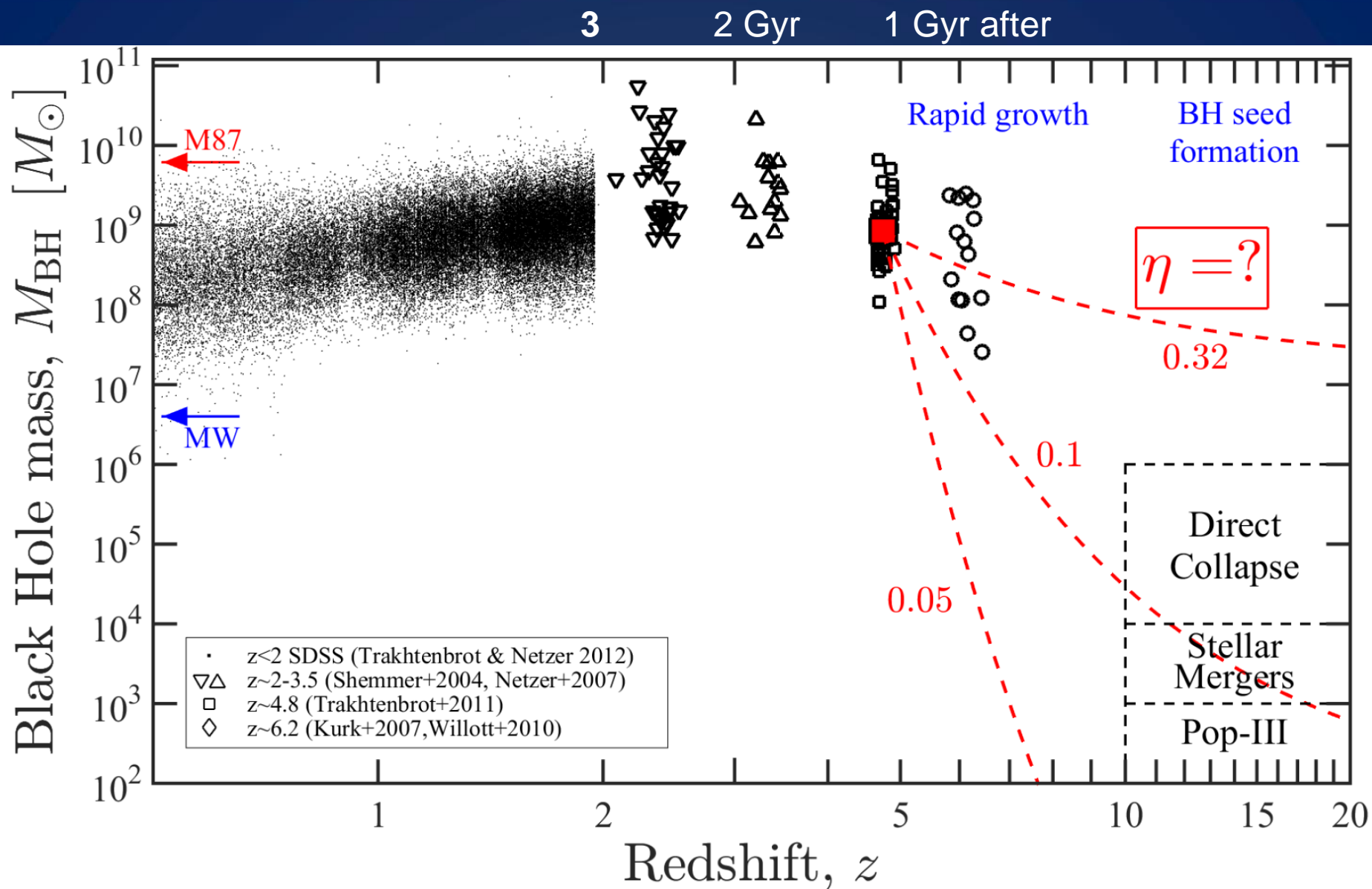
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With:

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|| Hagai Netzer (Tel-Aviv), Dan Capellupo (McGill), Paulina Lira & Julian Meja-Restrepo (U. Chile) || Caroline Bertemes & Kevin Schawinski (ETH), Martin Elvis (CfA), Chris Done (Durham) ||

Breaking the Limits, Arbatax, September 19 2016

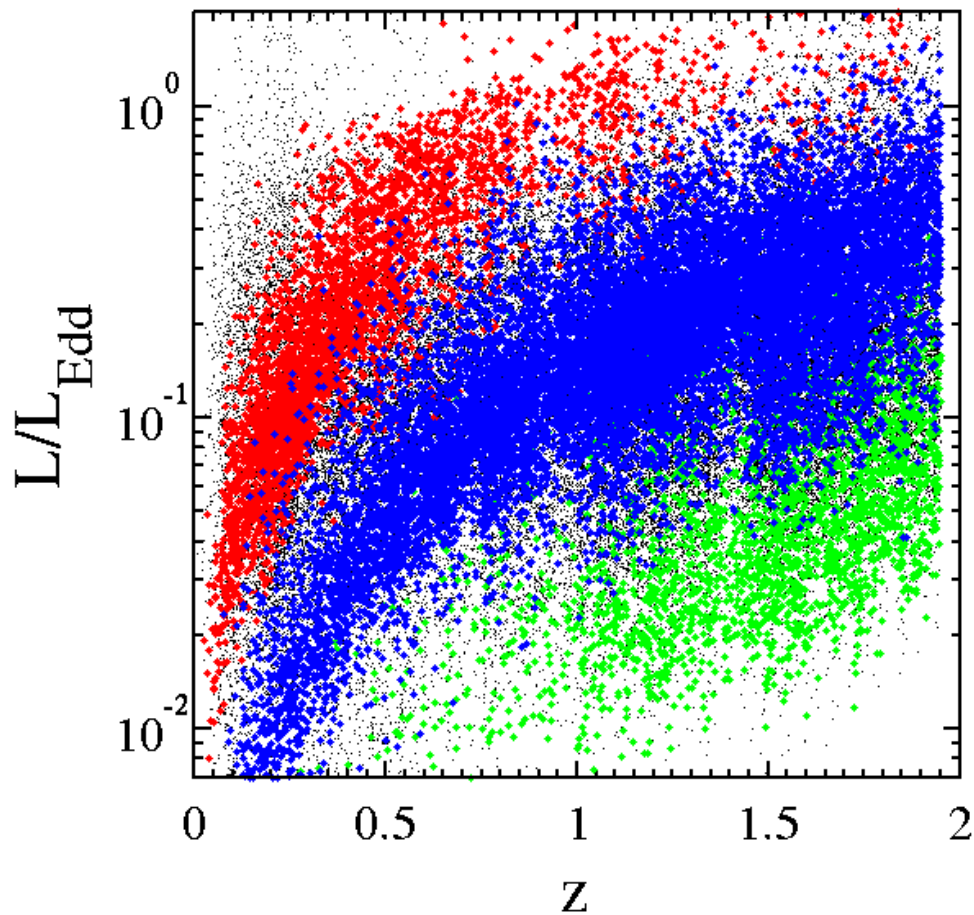


$$\tau_{\bullet} \simeq 0.4 \text{ Gyr} \frac{\eta}{(1 - \eta)} \frac{1}{L/L_{\text{Edd}} \times f_{\text{active}}}$$

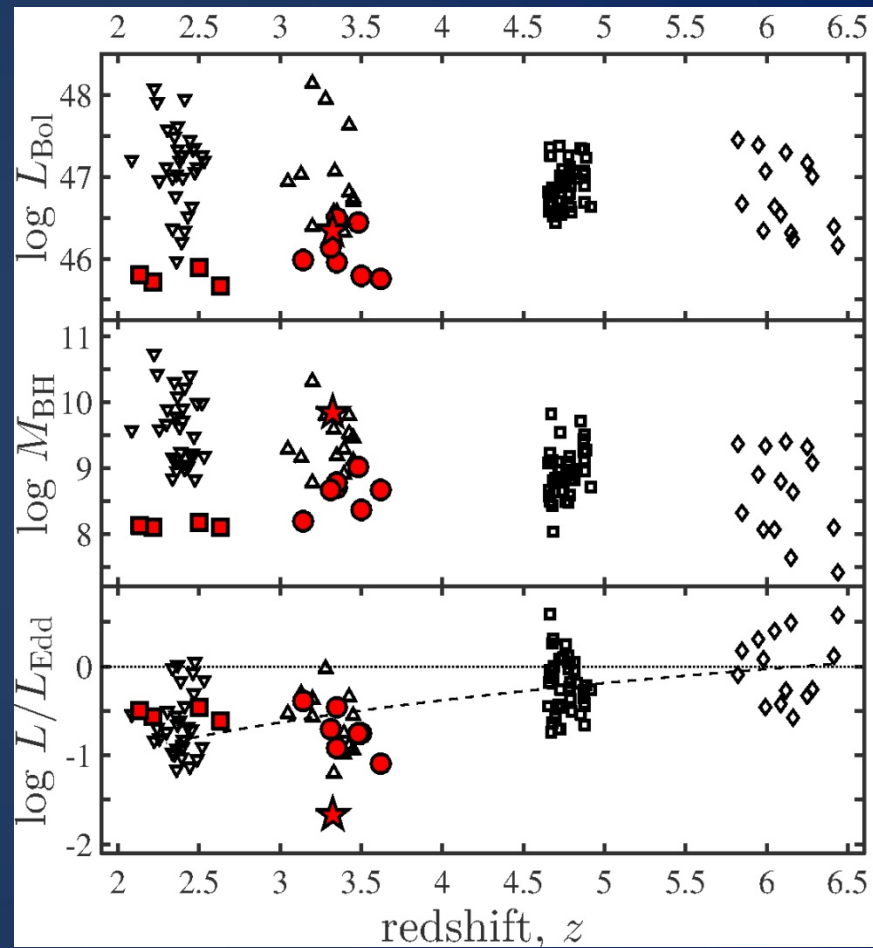
Figure adapted from:
Trakhtenbrot & Netzer (2012)

L/L_{Edd} Evolution in Luminous, Unobscured AGN

SDSS - out to $z \sim 2$



NIR studies - $z \sim 2 - 7$



Red square: $M_{\text{BH}} = 4 \times 10^7$ Blue square: 4×10^8 Green square: 1.5×10^9

Trakhtenbrot & Netzer (2012)

Netzer+2007, Kurk+2007, Willott+2010,
Trakhtenbrot+2011, [Trakhtenbrot+2016](#)

Radiative efficiency: controls SMBH growth

- BH spin sets inner edge of accretion disk/flow
- ... which affects the radiative efficiency:

$$L_{\text{Bol}} = \eta \dot{M}_{\text{AD}} c^2$$

- In the **thin-disk** regime:

$$\eta \sim 0.04 - 0.4$$

- “Soltan’s argument”:

$$\eta \sim 0.1$$

- BH “growth efficiency”:

$$\dot{M}_{\text{BH}} = (1 - \eta) \dot{M}_{\text{AD}}$$

$$t_{\text{growth}} \propto \eta / (1 - \eta) \rightarrow \text{Fast-spinning BHs grow slowly}$$



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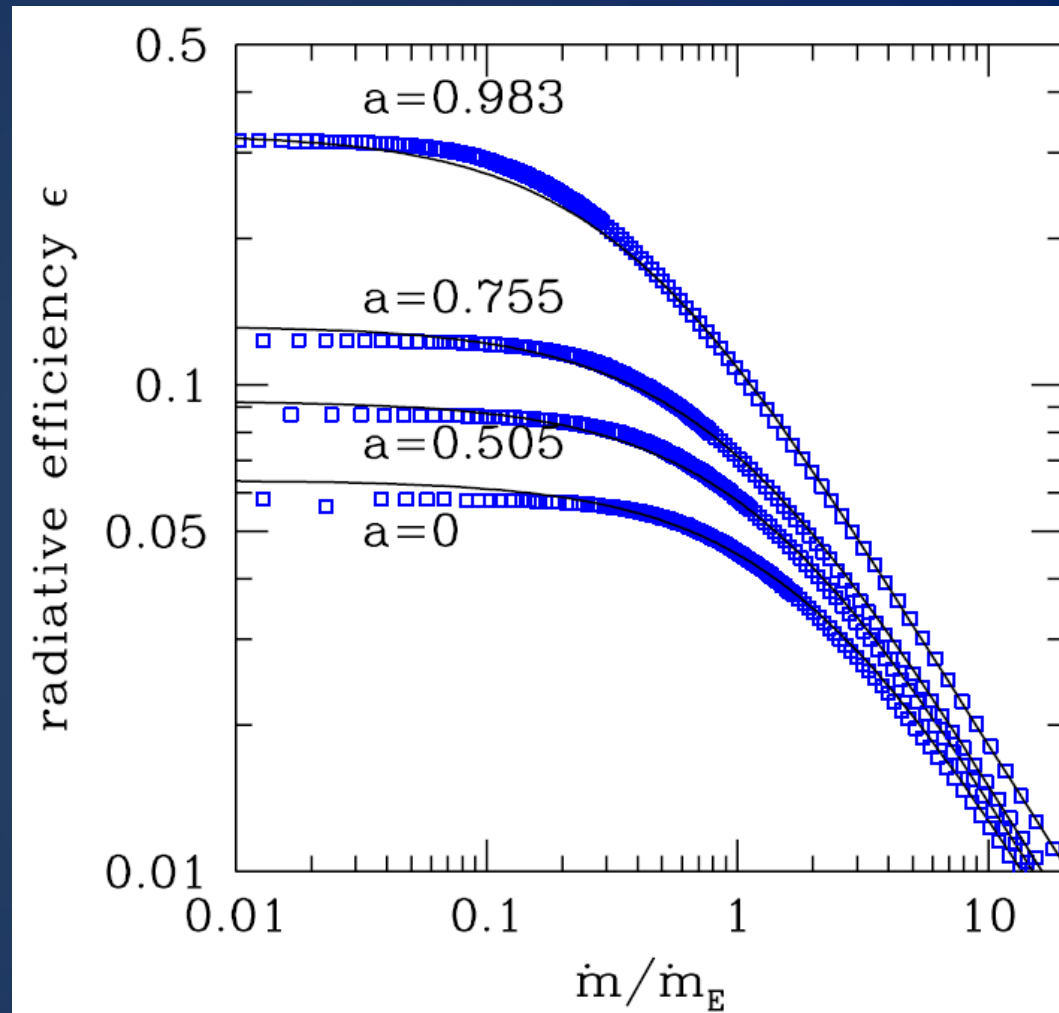
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- BH “growth efficiency”:

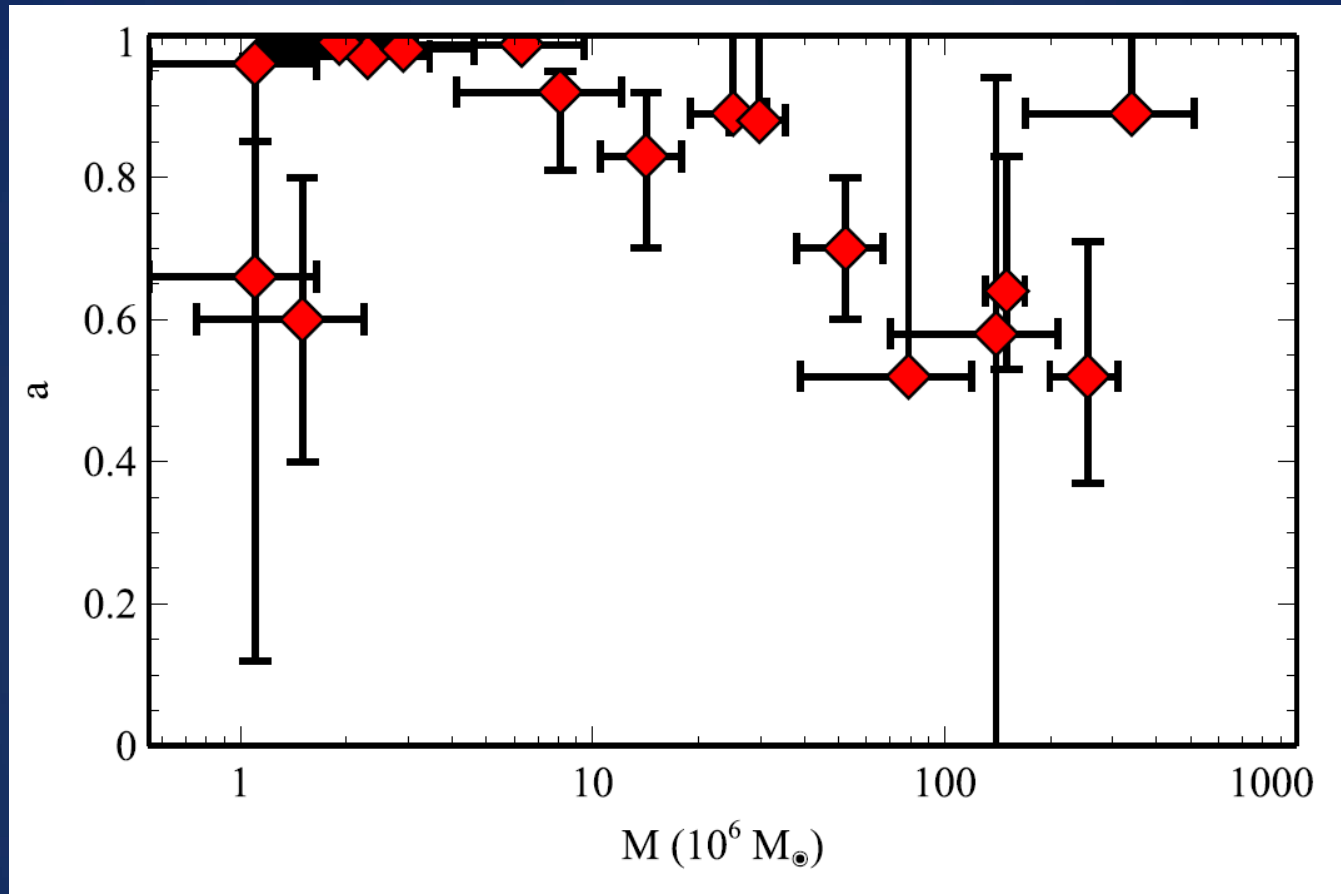
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Madau, Haardt & Dotti (2014)

Census of (local) SMBH spin estimates



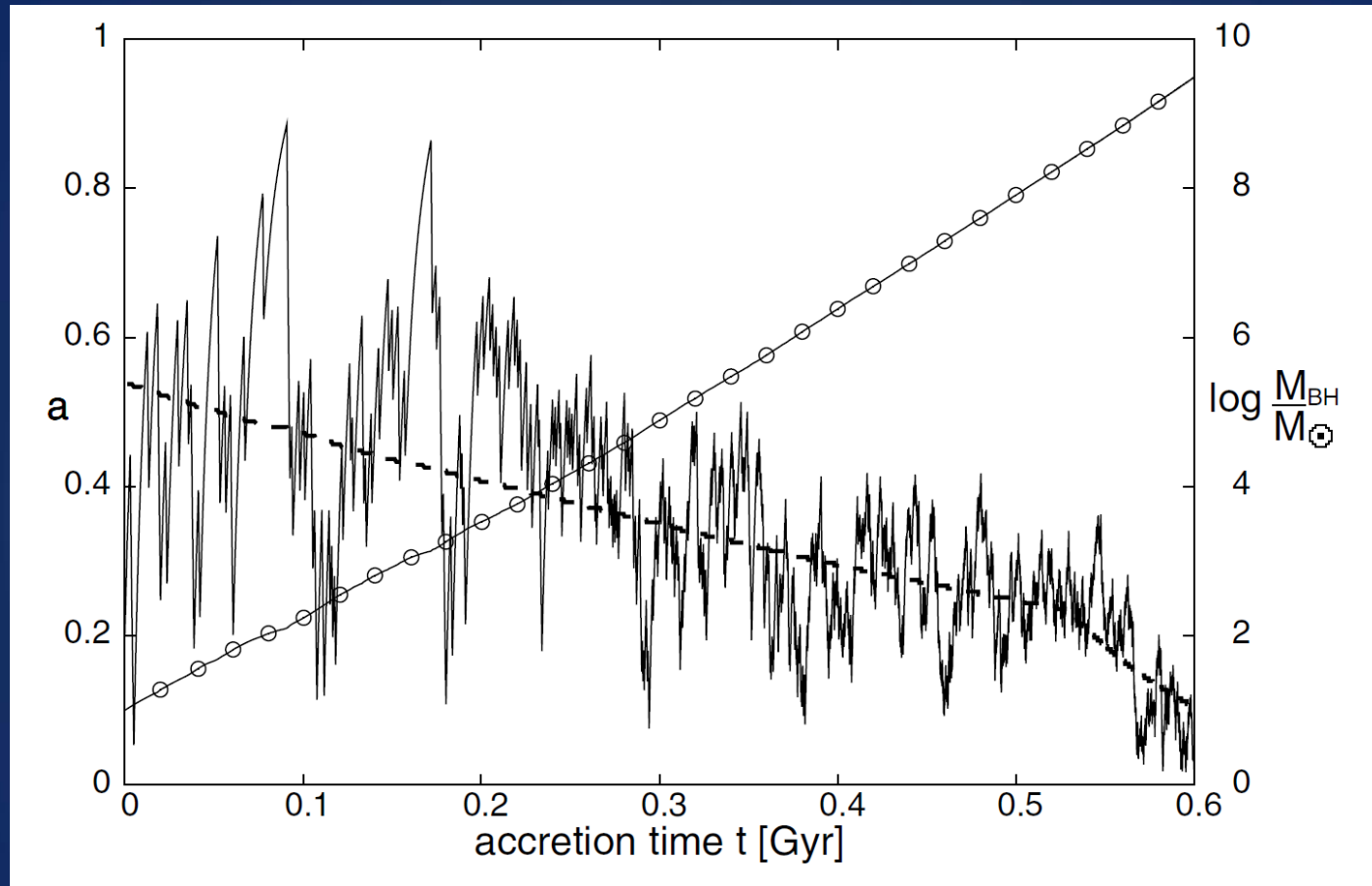
Gravitationally broadened Iron $K\alpha$ line at ~ 6.7 keV
Spin estimates for ~ 20 local, low-luminosity and low- M_{BH} AGN

No non-spinning SMBHs ?

Brenneman & Reynolds (2006), Brenneman et al (2011), Gallo et al (2011),
Patrick et al (2012), Fabian et al (2013), Walton et al (2013) ...

review by
Reynolds (2014)

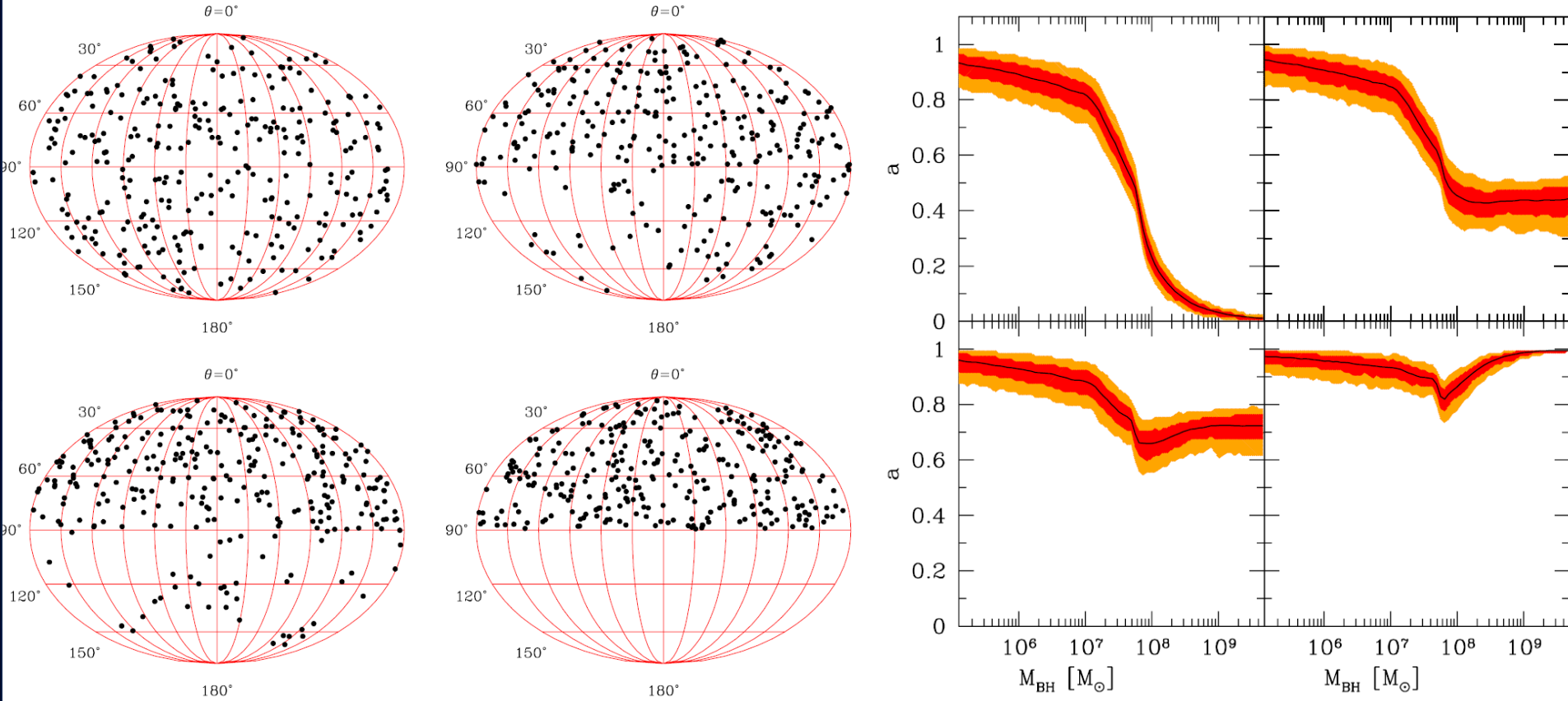
BH spin evolution: “spin down” scenario



A large number of accretion events (disks), randomly oriented w.r.t. the SMBH → “spin down” (King et al. 2008)

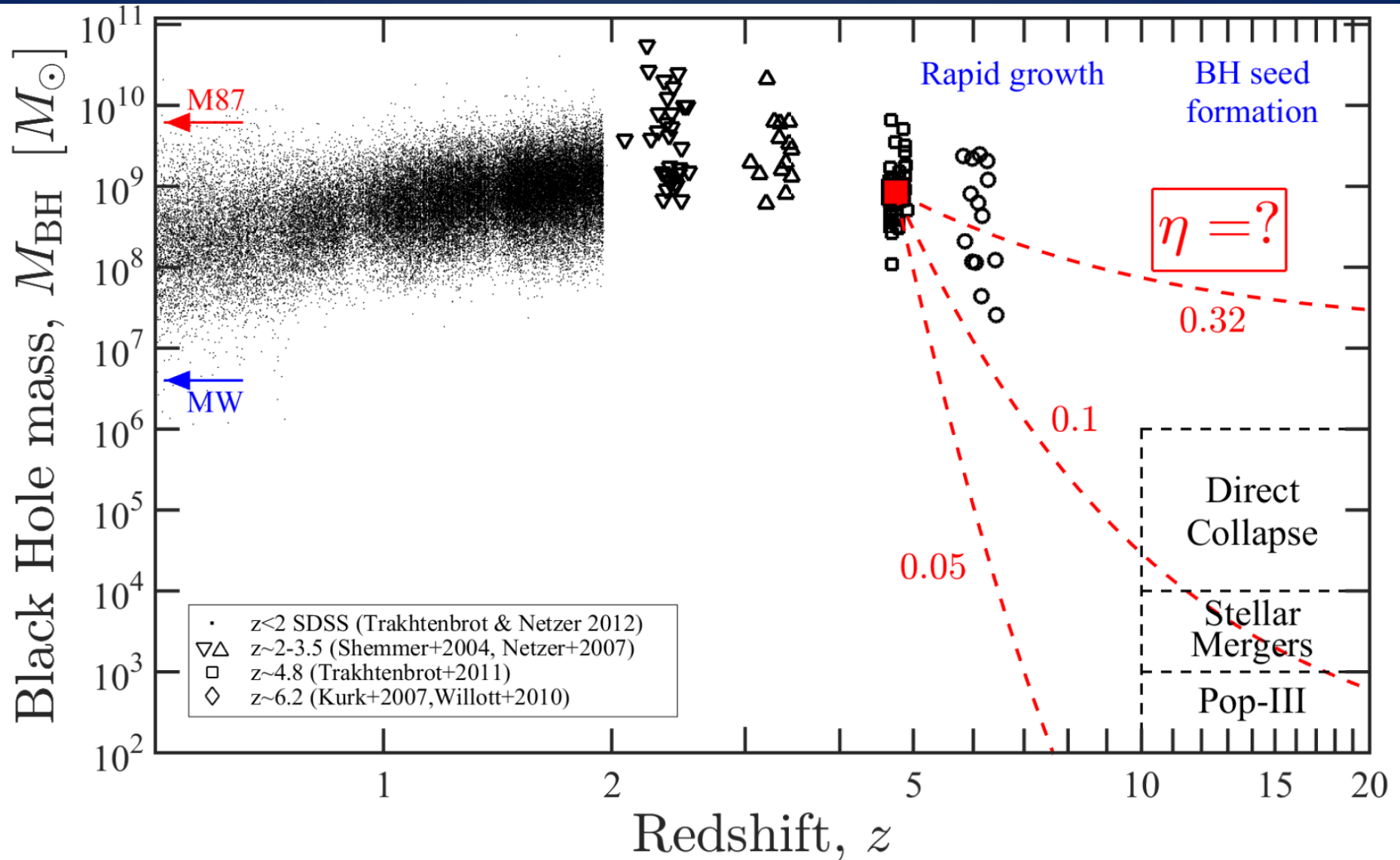
Coalescence events also lead to spin-down: $a \propto M_{\text{BH}}^{-2.4}$ (Hughes & Blandford, 2003)

BH spin evolution: the role of (an)isotropy



More isotropy \rightarrow lower spins
prolonged accretion / anisotropy \rightarrow “spin up”

Where are the most massive *active* BHs?



BH spin estimates: a different approach

$$L_{\text{Bol}} = \eta \dot{M}_{\text{AD}} c^2$$

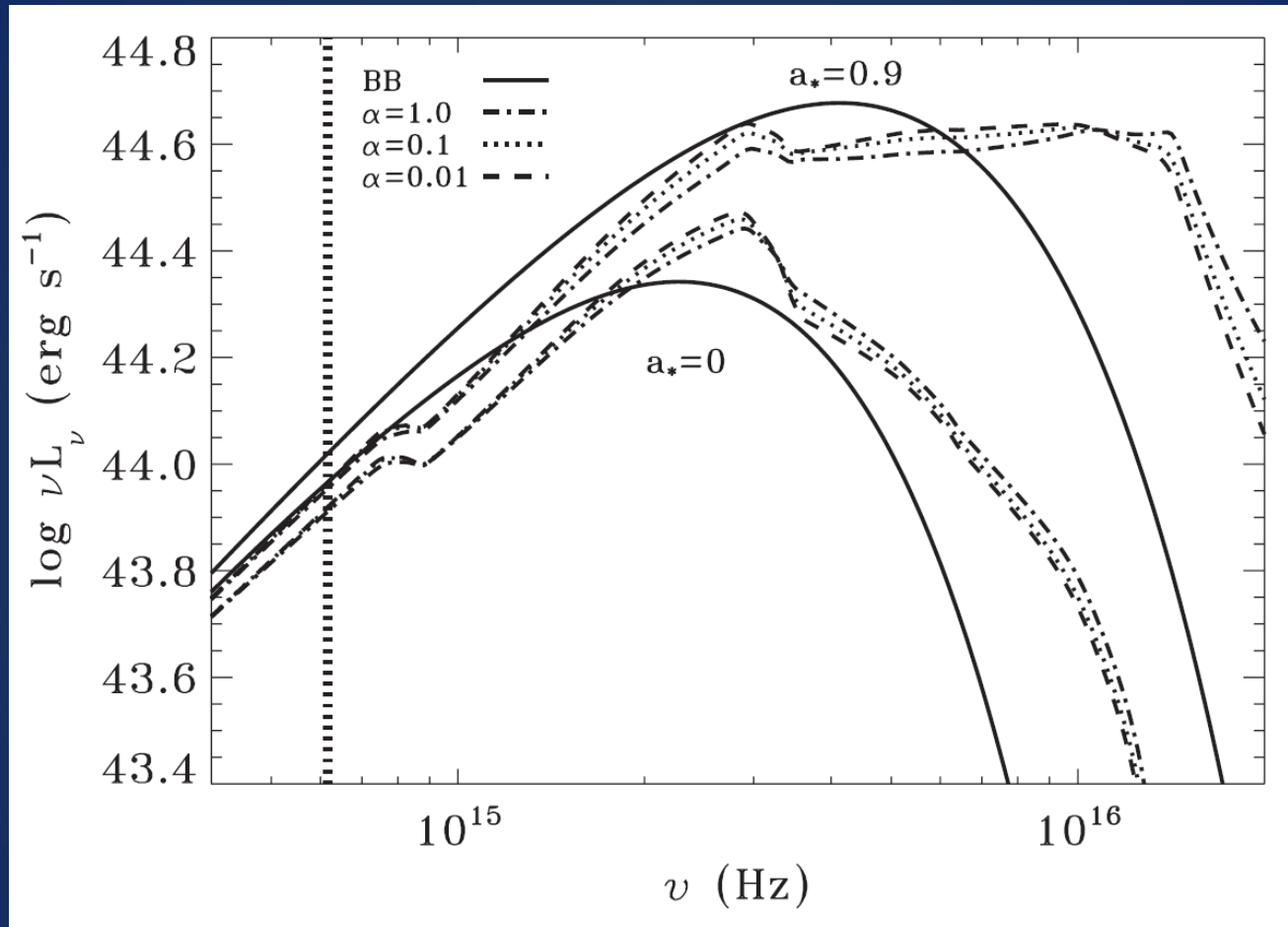
Davis & Laor (2010), Wu+2013,
Trakhtenbrot (2014, ApJL, 789, 9)

Basic assumptions

1. Luminous AGNs accrete matter through geometrically thin, “Shakura-Sunyaev-like” accretion disks
2. M_{BH} can be reliably estimated from broad emission lines for example:

$$M_{\text{BH}} = 1.05 \times 10^8 \left(\frac{\lambda L_{\lambda}[5100\text{\AA}]}{10^{46} \text{ erg/s}} \right)^{0.65} \left(\frac{\text{FWHM}[\text{H}\beta]}{1000 \text{ km/s}} \right)^2 M_{\odot}$$

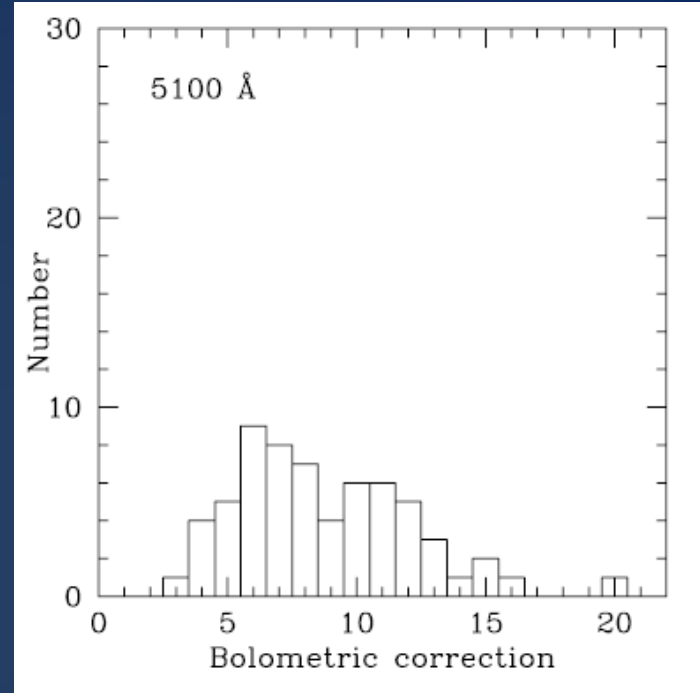
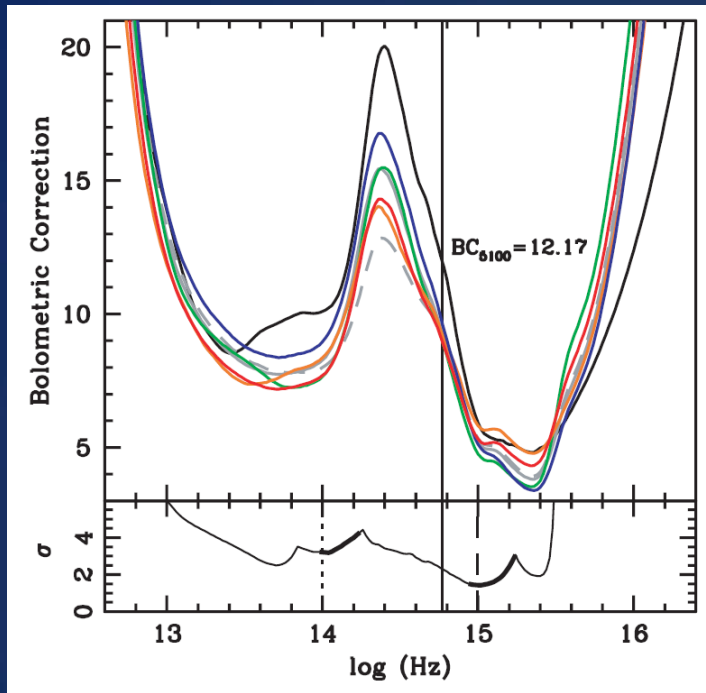
Thin accretion disks: estimating accretion rates



$$\dot{M}_{\text{AD}} = 2.4 \left(\frac{\lambda L_\lambda}{10^{45} \text{ erg/s}} \right)^{3/2} \left(\frac{\lambda}{5100 \text{ \AA}} \right)^2 \left(\frac{M_{\text{BH}}}{10^8 M_\odot} \right)^{-1} M_\odot/\text{yr}$$

Bechtold et al. (1987)
Collin et al. (2006)
Davis & Laor (2011)

Estimating bolometric luminosities



Reference	ζ_{1450}	ζ_{3000}	ζ_{5100}	Number of sources	Range in $\log(L_{\text{bol}})$	Standard error in mean for 1450/3000/5100 Å
Elvis et al. (1994) ^a	5.12	6.19	12.45	47	44.86–46.92	–
Recalculated Elvis et al. (1994) ^b	3.15	3.82	7.68	–	–	–
Richards et al. (2006)	–	5.62	10.33	259	45.06–47.43	.../0.07/0.13
Recalculated Richards et al. (2006) ^c	2.33	3.11	5.53	–	–	–
Nemmen & Brotherton (2010) ^d	3.0	5.9	7.6	280	44.60–48.50	0.3/0.8/1.9
This work	4.2	5.2	8.1	63	45.13–47.30	0.1/0.2/0.4

Elvis et al (1994), Marconi et al. (2004), Richards et al. (2006),
Jin et al. (2012), Runnoe et al. (2012)

Sample & data: most massive BHs at $z \sim 2-7$

- 72 quasars at $z \sim 1.5-3.5$

Shemmer+2004, Netzer+2007,
Marziani+2009, Dietrich+2009

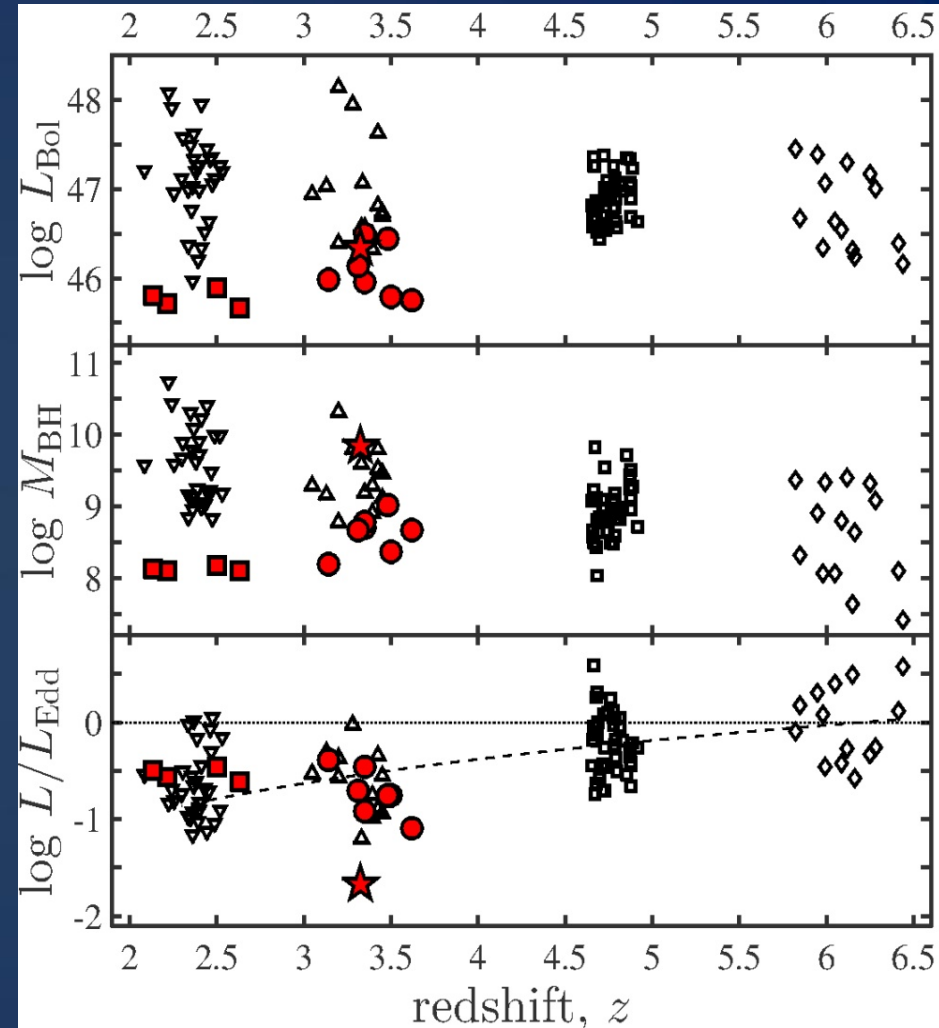
- 20 quasars at $z \sim 5.8-7$

Iwamuro+2004, Kurk+2007,2009,
Jiang+2007, Willott+2010, De Rosa+2011

- Near-IR spectra to cover ($H\beta, L_{5100}$) or ($MgII, L_{3000}$)
- *2MASS, Spitzer* and/or *WISE* data covers (rest-frame) optical cont. (Jiang+2006, 2010, Leipski+2014)

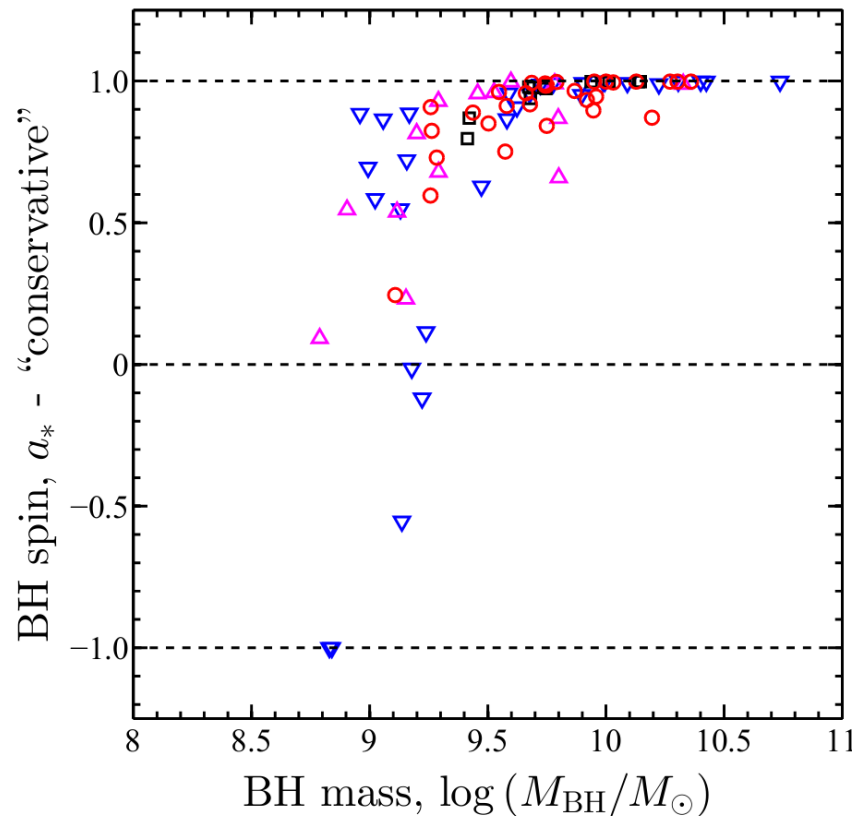
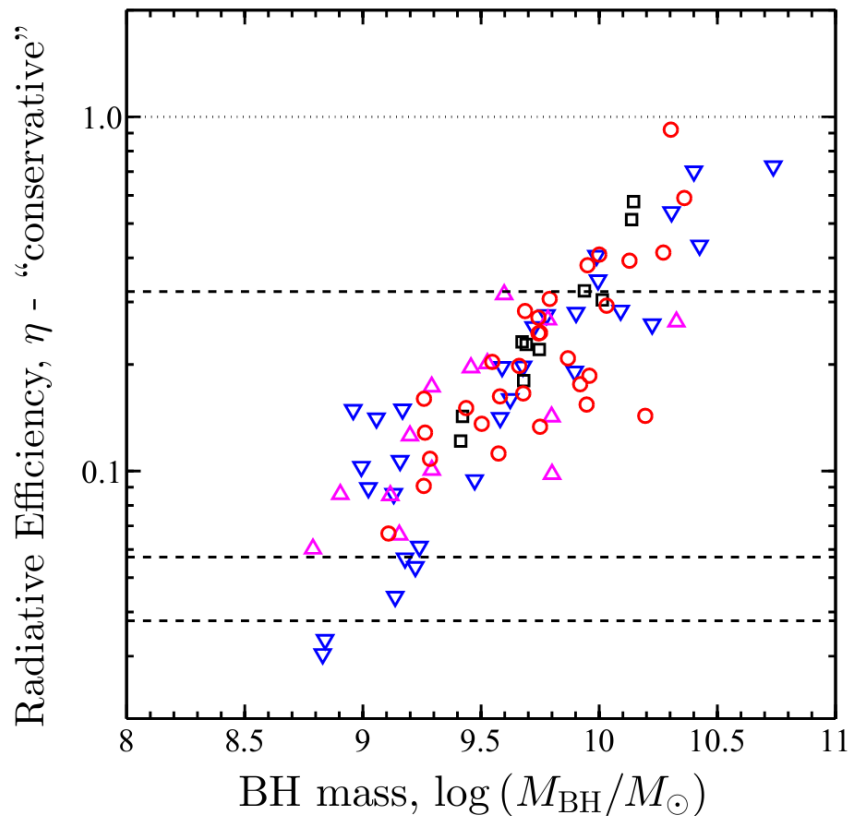
→ M_{BH} and \dot{M}_{AD}

- Most sources have $M_{BH} > 3 \times 10^9 M_{\odot}$



Results, $z \sim 1.5-3.5$: conservative lower limits on η

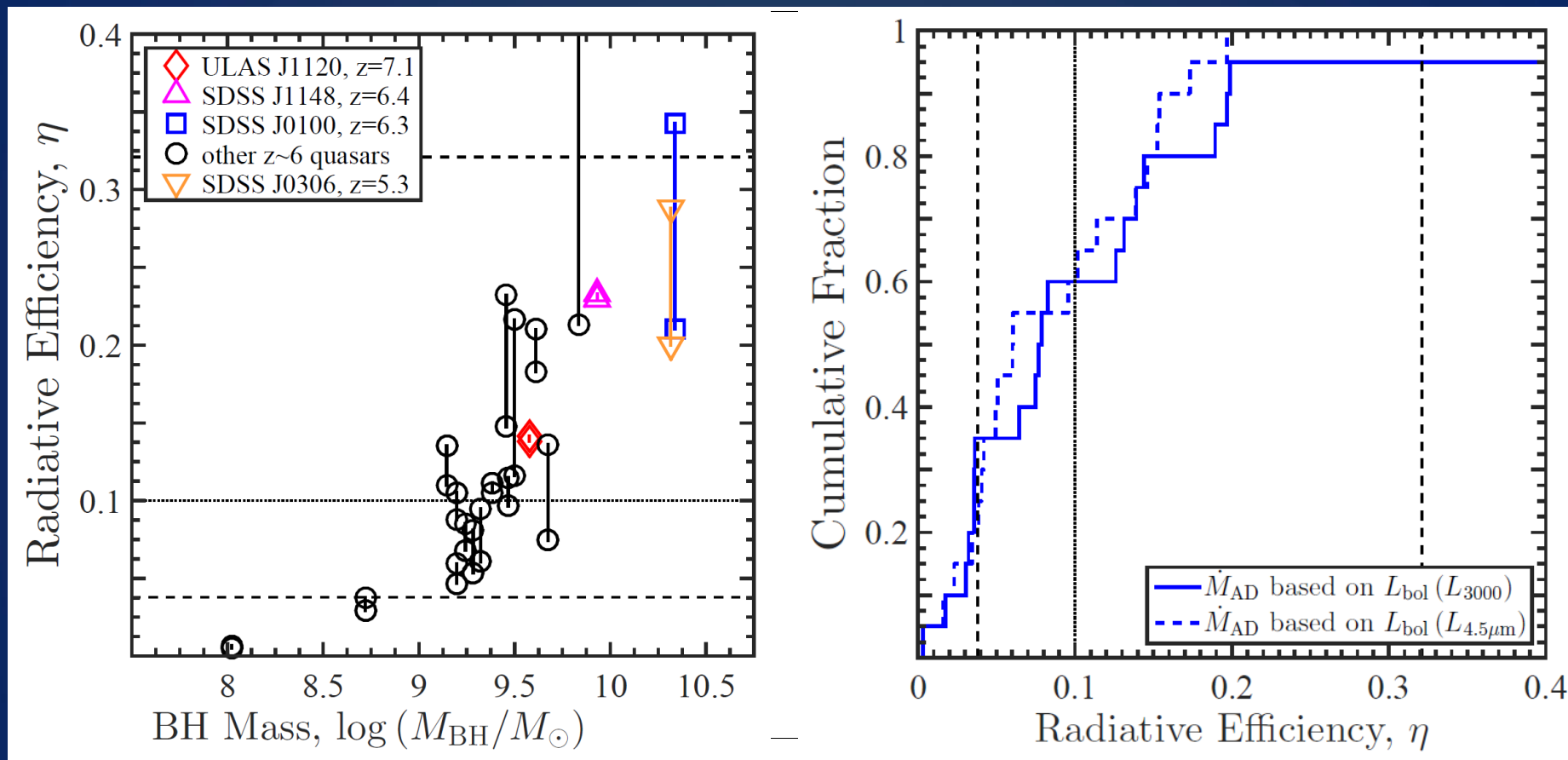
Highest \dot{M}_{AD} and lowest L_{BoI} ($= 3 \times L_{5100}$)



the most massive BHs have high radiative efficiencies
... and high spins \rightarrow low growth efficiencies

Results, $z \sim 6-7$: conservative lower limits on η

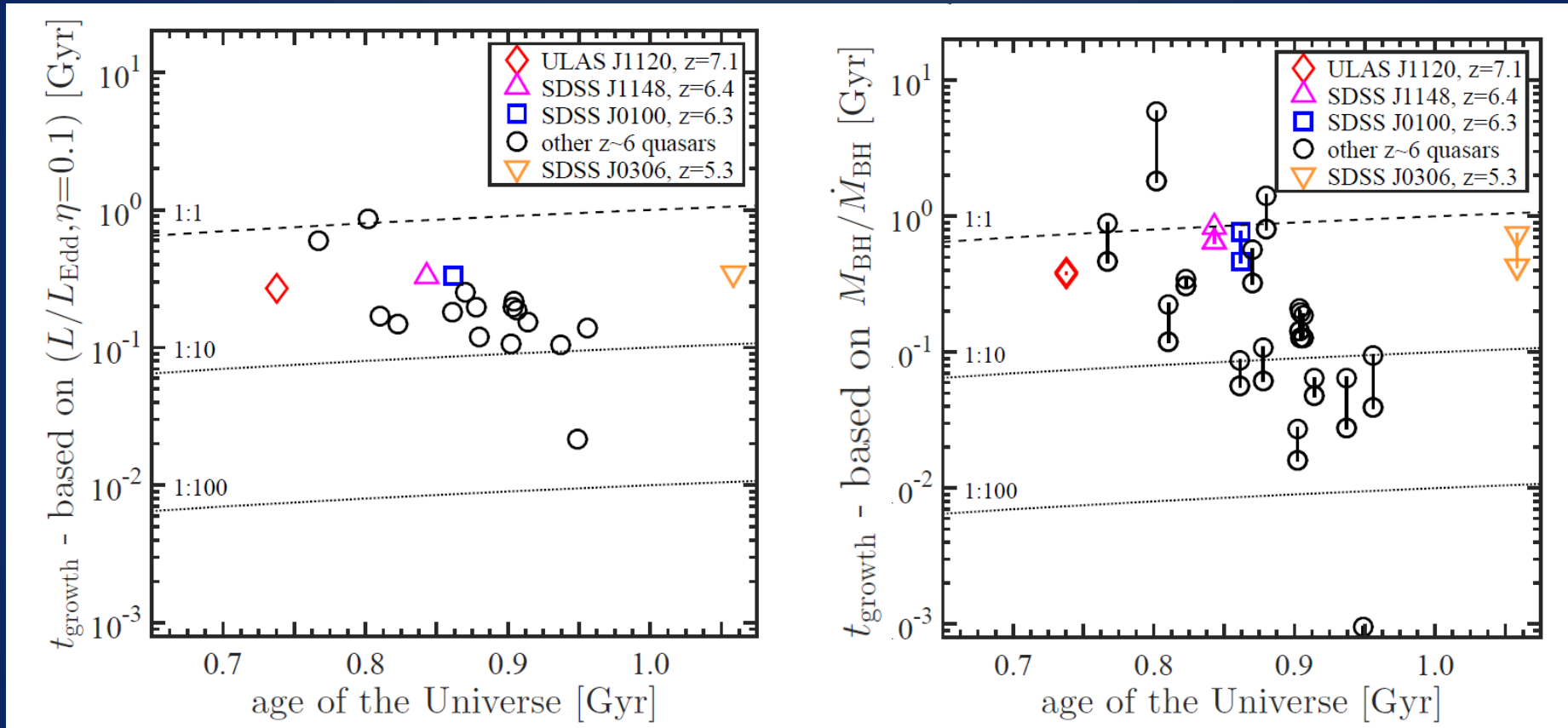
Highest \dot{M}_{AD} and lowest L_{Bol} ($= 3 \times L_{5100}$)



the highest-redshift quasars are consistent with efficient, thin accretion disks

Results, $z \sim 6-7$: accretion time-scales

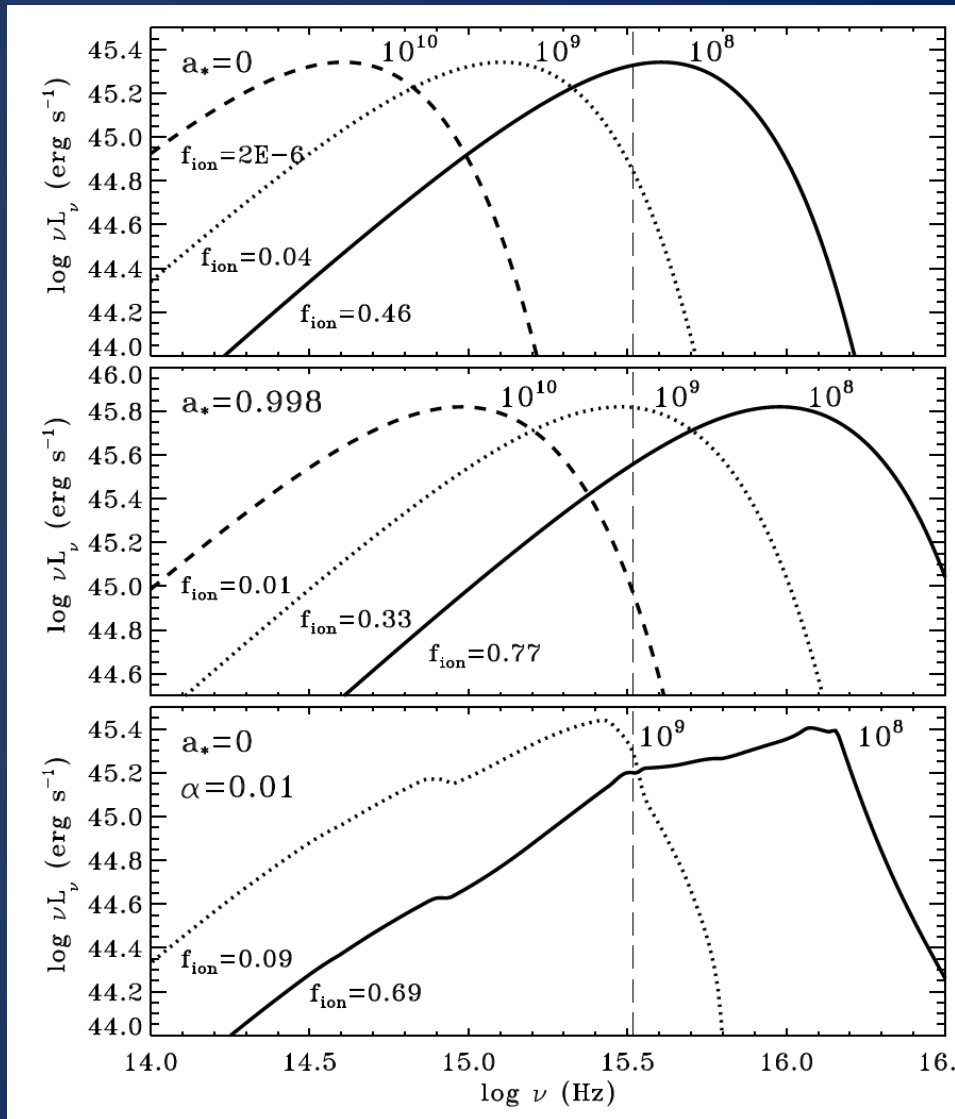
“standard”: L/L_{Edd} and $\eta = 0.1$ vs. “new”: \dot{M}_{AD} and L_{Bol}



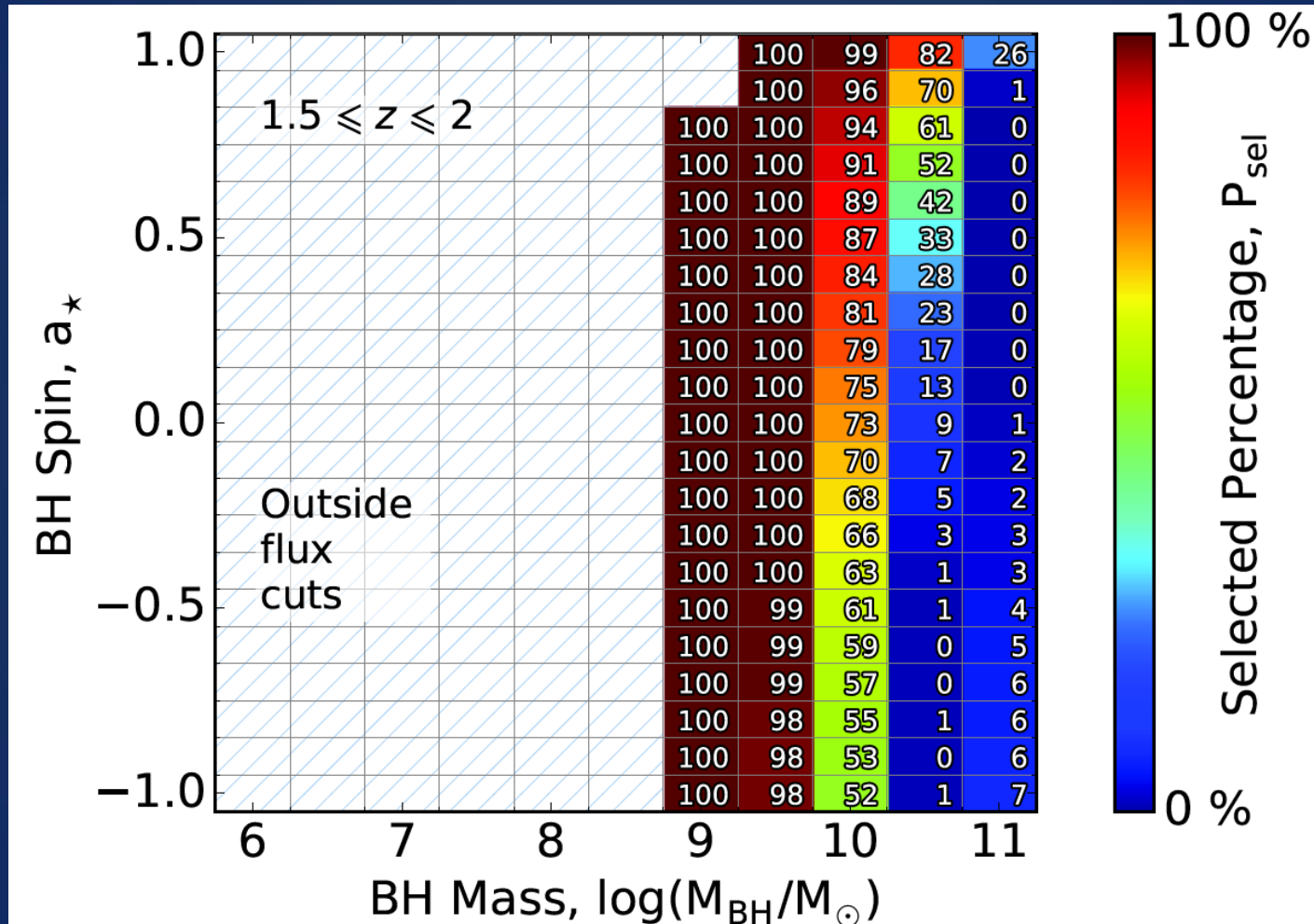
the highest-redshift quasars are consistent with efficient, thin accretion disks; **time for ~1-10 mass e-folds**

Additional evidence for high spins at high M_{BH}

- Two new $K\alpha$ measurements at significant redshifts, $z\sim 1-2$ (Reis+2014, Reynolds+2014)
- UV-Optical SED fitting for $z\sim 1.5$ AGN with known BH mass (Capellupo+2015, 2016)
- Requirement of significant ionizing radiation (for lines)
 - About 75% of massive $z\sim 0.7$ SDSS quasars have $a_* > 0.7$ (Netzer & Trakhtenbrot 2014)
- are we missing a population of high-mass, slow- or retrograde spinning SMBHs?



Are we missing a population of high-mass, slow-spinning and/or retrograde SMBHs?



testing SDSS color-color selection for thin-disk models

Conclusions ...

1. Radiative efficiencies and/or BH spins are key to understanding early SMBH growth (and seed BH formation)
2. The most massive BHs, at $z \sim 1.5-3.5$ have high spins
Their luminosities require high η , given the virial masses
3. Available data for the highest- z quasars, at $z \sim 6$, consistent with thin-disk accretion
if one assumes a thin-disk optical SED, most have $\eta > 0.04$
4. Still possible that they accreted with lower η at earlier epochs

... and consequences / open issues

- Most massive relic, local SMBHs should have high spins (*EHT* ? ...)
- Are we missing the non-spinning, retro-grade spinning and/or radiatively-inefficient SMBHs? (*eROSITA, Athena, ...*)

Thank you!

